

Complexity Analysis for Term Rewriting by Integer Transition Systems

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Analyzing Insertion Sort by Hand

Example

isort (nil, ys)	→	ys
isort (cons(x, xs), ys)	→	isort (xs, insert (x, ys))
insert (x, nil)	→	cons(x, nil)
insert (x, cons(y, ys))	→	if (gt (x, y), x, cons(y, ys))
if (true, x, cons(y, ys))	→	cons(y, insert (x, ys))
if (false, x, cons(y, ys))	→	cons(x, cons(y, ys))
gt (0, y)	→	false
gt (s(x), 0)	→	true
gt (s(x), s(y))	→	gt (x, y)

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Note: innermost reduction strategy

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$\text{isort}(\text{nil}, ys)$	\rightarrow	ys
$\text{isort}(\text{cons}(x, xs), ys)$	\rightarrow	$\text{isort}(xs, \text{insert}(x, ys))$
$\text{insert}(x, \text{nil})$	\rightarrow	$\text{cons}(x, \text{nil})$
$\text{insert}(x, \text{cons}(y, ys))$	\rightarrow	$\text{if}(\text{gt}(x, y), x, \text{cons}(y, ys))$
$\text{if}(\text{true}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(y, \text{insert}(x, ys))$
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(x, \text{cons}(y, ys))$
$\text{gt}(0, y)$	\rightarrow	false
$\text{gt}(s(x), 0)$	\rightarrow	true
$\text{gt}(s(x), s(y))$	\rightarrow	$\text{gt}(x, y)$

- $\text{gt}(x, y)$: $\mathcal{O}(x)$

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$\text{if}(\text{true}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(y, \text{insert}(x, ys))$
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(x, \text{cons}(y, ys))$
$\text{gt}(0, y)$	\rightarrow	false
$\text{gt}(s(x), 0)$	\rightarrow	true
$\text{gt}(s(x), s(y))$	\rightarrow	$\text{gt}(x, y)$

- $\text{gt}(x, y)$: $\mathcal{O}(x)$
- $\text{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys) \cdot \dots)$

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$\text{if}(\text{false}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(x, \text{cons}(y, ys))$
$\text{gt}(0, y)$	\rightarrow	false
$\text{gt}(s(x), 0)$	\rightarrow	true
$\text{gt}(s(x), s(y))$	\rightarrow	$\text{gt}(x, y)$

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- $\text{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys) \cdot x)$

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$\text{if}(\text{false}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(x, \text{cons}(y, ys))$
$\text{gt}(0, y)$	\rightarrow	false
$\text{gt}(s(x), 0)$	\rightarrow	true
$\text{gt}(s(x), s(y))$	\rightarrow	$\text{gt}(x, y)$

- $\text{gt}(x, y)$: $\mathcal{O}(x)$
- $\text{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys) \cdot x)$
- $\text{isort}(xs, ys)$: $\mathcal{O}(\text{length}(xs) \cdot \dots)$

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$\text{if}(\text{false}, x, \text{cons}(y, ys))$	\rightarrow	$\text{cons}(x, \text{cons}(y, ys))$
$\text{gt}(0, y)$	\rightarrow	false
$\text{gt}(s(x), 0)$	\rightarrow	true
$\text{gt}(s(x), s(y))$	\rightarrow	$\text{gt}(x, y)$

- $\text{gt}(x, y)$: $\mathcal{O}(x)$
- $\text{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys) \cdot x)$
- $\text{isort}(xs, ys)$: $\mathcal{O}(\text{length}(xs) \cdot (\text{length}(xs) + \text{length}(ys)) \cdot \max(xs))$

Note: innermost reduction strategy

Relative Rules

Example

$\mathbf{isort}(\mathbf{nil}, ys)$	\rightarrow	ys
$\mathbf{isort}(\mathbf{cons}(x, xs), ys)$	\rightarrow	$\mathbf{isort}(xs, \mathbf{insert}(x, ys))$
$\mathbf{insert}(x, \mathbf{nil})$	\rightarrow	$\mathbf{cons}(x, \mathbf{nil})$
$\mathbf{insert}(x, \mathbf{cons}(y, ys))$	\rightarrow	$\mathbf{if}(\mathbf{gt}(x, y), x, \mathbf{cons}(y, ys))$
$\mathbf{if}(\mathbf{true}, x, \mathbf{cons}(y, ys))$	\rightarrow	$\mathbf{cons}(y, \mathbf{insert}(x, ys))$
$\mathbf{if}(\mathbf{false}, x, \mathbf{cons}(y, ys))$	\rightarrow	$\mathbf{cons}(x, \mathbf{cons}(y, ys))$
$\mathbf{gt}(0, y)$	$\stackrel{=} \rightarrow$	\mathbf{false}
$\mathbf{gt}(s(x), 0)$	$\stackrel{=} \rightarrow$	\mathbf{true}
$\mathbf{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow$	$\mathbf{gt}(x, y)$

- $\mathbf{gt}(x, y)$: $\mathcal{O}(x)$
- $\mathbf{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys) \cdot x)$
- $\mathbf{isort}(xs, ys)$: $\mathcal{O}(\text{length}(xs) \cdot (\text{length}(xs) + \text{length}(ys)) \cdot \text{max}(xs))$

Note: innermost reduction strategy

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$\mathbf{if}(\mathbf{false}, x, \mathbf{cons}(y, ys))$	\rightarrow	$\mathbf{cons}(x, \mathbf{cons}(y, ys))$
$\mathbf{gt}(0, y)$	$\stackrel{=} \rightarrow$	\mathbf{false}
$\mathbf{gt}(s(x), 0)$	$\stackrel{=} \rightarrow$	\mathbf{true}
$\mathbf{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow$	$\mathbf{gt}(x, y)$

- $\mathbf{gt}(x, y)$: $\mathcal{O}(1)$
- $\mathbf{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys) \cdot x)$
- $\mathbf{isort}(xs, ys)$: $\mathcal{O}(\text{length}(xs) \cdot (\text{length}(xs) + \text{length}(ys)) \cdot \text{max}(xs))$

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$\mathbf{isort}(\mathbf{nil}, ys)$	\rightarrow	ys
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$\mathbf{if}(\mathbf{false}, x, \mathbf{cons}(y, ys))$	\rightarrow	$\mathbf{cons}(x, \mathbf{cons}(y, ys))$
$\mathbf{gt}(0, y)$	$\stackrel{=} \rightarrow$	\mathbf{false}
$\mathbf{gt}(s(x), 0)$	$\stackrel{=} \rightarrow$	\mathbf{true}
$\mathbf{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow$	$\mathbf{gt}(x, y)$

- $\mathbf{gt}(x, y)$: $\mathcal{O}(1)$
- $\mathbf{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys))$
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Note: innermost reduction strategy

Relative Rules

Example

$\mathbf{isort}(\mathbf{nil}, ys)$	$\rightarrow ys$
$\mathbf{isort}(\mathbf{cons}(x, xs), ys)$	$\rightarrow \mathbf{isort}(xs, \mathbf{insert}(x, ys))$
$\mathbf{insert}(x, \mathbf{nil})$	$\rightarrow \mathbf{cons}(x, \mathbf{nil})$
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$\mathbf{if}(\mathbf{true}, x, \mathbf{cons}(y, ys))$	$\rightarrow \mathbf{cons}(y, \mathbf{insert}(x, ys))$
$\mathbf{if}(\mathbf{false}, x, \mathbf{cons}(y, ys))$	$\rightarrow \mathbf{cons}(x, \mathbf{cons}(y, ys))$
$\mathbf{gt}(0, y)$	$\stackrel{=} \rightarrow \mathbf{false}$
$\mathbf{gt}(s(x), 0)$	$\stackrel{=} \rightarrow \mathbf{true}$
$\mathbf{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow \mathbf{gt}(x, y)$

- $\mathbf{gt}(x, y)$: $\mathcal{O}(1)$
- $\mathbf{insert}(x, ys)$: $\mathcal{O}(\text{length}(ys))$
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if (true, x, cons(y, ys))	\rightarrow	cons(y, insert (x, ys))
if (false, x, cons(y, ys))	\rightarrow	cons(x, cons(y, ys))
gt (0, y)	$\stackrel{=} \rightarrow$	false
gt (s(x), 0)	$\stackrel{=} \rightarrow$	true
gt (s(x), s(y))	$\stackrel{=} \rightarrow$	gt (x, y)

- the recursive **isort** rule is at most applied linearly often

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gt (0, y)	$\stackrel{=} \rightarrow$	false
gt (s(x), 0)	$\stackrel{=} \rightarrow$	true
gt (s(x), s(y))	$\stackrel{=} \rightarrow$	gt (x, y)

- the recursive **isort** rule is at most applied linearly often
- the recursive **insert** rule is at most applied quadratically often

Example

isort (nil, ys)	\rightarrow	ys
isort (cons(x, xs), ys)	\rightarrow	isort (xs, insert (x, ys))
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if (false, x, cons(y, ys))	\rightarrow	cons(x, cons(y, ys))
gt (0, y)	$\stackrel{=} \rightarrow$	false
gt (s(x), 0)	$\stackrel{=} \rightarrow$	true
gt (s(x), s(y))	$\stackrel{=} \rightarrow$	gt (x, y)

- the recursive **isort** rule is at most applied linearly often
- the recursive **insert** rule is at most applied quadratically often
 - Note: Requires reasoning about **isort**, **insert**, and **if** rules!

Example

isort (nil, ys)	\rightarrow	ys
isort (cons(x, xs), ys)	\rightarrow	isort (xs, insert (x, ys))
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insert (x, cons(y, ys))	\rightarrow	if (gt (x, y), x, cons(y, ys))
if (true, x, cons(y, ys))	\rightarrow	cons(y, insert (x, ys))
if (false, x, cons(y, ys))	\rightarrow	cons(x, cons(y, ys))
gt (0, y)	$\stackrel{=} \rightarrow$	false
gt (s(x), 0)	$\stackrel{=} \rightarrow$	true
gt (s(x), s(y))	$\stackrel{=} \rightarrow$	gt (x, y)

- the recursive **isort** rule is at most applied linearly often
- the recursive **insert** rule is at most applied quadratically often
 - Note: Requires reasoning about **isort**, **insert**, and **if** rules!
- the recursive **if** rule is applied as often as the recursive **insert** rule

Bird's Eye View

Example

$\text{isort}(\text{nil}, ys)$	$\rightarrow ys$
$\text{isort}(\text{cons}(x, xs), ys)$	$\rightarrow \text{isort}(xs, \text{insert}(x, ys))$
$\text{insert}(x, \text{nil})$	$\rightarrow \text{cons}(x, \text{nil})$
$\text{insert}(x, \text{cons}(y, ys))$	$\rightarrow \text{if}(\text{gt}(x, y), x, \text{cons}(y, ys))$
$\text{if}(\text{true}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(y, \text{insert}(x, ys))$
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(x, \text{cons}(y, ys))$
$\text{gt}(0, y)$	$\stackrel{=}{\rightarrow} \text{false}$
$\text{gt}(s(x), 0)$	$\stackrel{=}{\rightarrow} \text{true}$
$\text{gt}(s(x), s(y))$	$\stackrel{=}{\rightarrow} \text{gt}(x, y)$

- ① abstract terms to integers

Bird's Eye View

Example

$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$	$ $	$xs' = 1$
$\text{isort}(\text{cons}(x, xs), ys)$	$\rightarrow \text{isort}(xs, \text{insert}(x, ys))$		
$\text{insert}(x, \text{nil})$	$\rightarrow \text{cons}(x, \text{nil})$		
$\text{insert}(x, \text{cons}(y, ys))$	$\rightarrow \text{if}(\text{gt}(x, y), x, \text{cons}(y, ys))$		
$\text{if}(\text{true}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(y, \text{insert}(x, ys))$		
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(x, \text{cons}(y, ys))$		
$\text{gt}(0, y)$	$\stackrel{=} \rightarrow \text{false}$		
$\text{gt}(\text{s}(x), 0)$	$\stackrel{=} \rightarrow \text{true}$		
$\text{gt}(\text{s}(x), \text{s}(y))$	$\stackrel{=} \rightarrow \text{gt}(x, y)$		

- ① abstract terms to integers

Bird's Eye View

Example

$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$		$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$		$xs' = 1 + x + xs$
$\text{insert}(x, \text{nil})$	$\rightarrow \text{cons}(x, \text{nil})$		
$\text{insert}(x, \text{cons}(y, ys))$	$\rightarrow \text{if}(\text{gt}(x, y), x, \text{cons}(y, ys))$		
$\text{if}(\text{true}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(y, \text{insert}(x, ys))$		
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(x, \text{cons}(y, ys))$		
$\text{gt}(0, y)$	$\stackrel{=} \rightarrow \text{false}$		
$\text{gt}(s(x), 0)$	$\stackrel{=} \rightarrow \text{true}$		
$\text{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow \text{gt}(x, y)$		

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$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$		$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$		$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$		$ys' = 1$
$\text{insert}(x, \text{cons}(y, ys))$	$\rightarrow \text{if}(\text{gt}(x, y), x, \text{cons}(y, ys))$		
$\text{if}(\text{true}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(y, \text{insert}(x, ys))$		
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(x, \text{cons}(y, ys))$		
$\text{gt}(0, y)$	$\stackrel{=} \rightarrow \text{false}$		
$\text{gt}(s(x), 0)$	$\stackrel{=} \rightarrow \text{true}$		
$\text{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow \text{gt}(x, y)$		

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Bird's Eye View

Example

$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$		$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$		$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$		$ys' = 1$
$\text{insert}(x, \text{cons}(y, ys))$	$\rightarrow \text{if}(\text{gt}(x, y), x, \text{cons}(y, ys))$		
$\text{if}(\text{true}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(y, \text{insert}(x, ys))$		
$\text{if}(\text{false}, x, \text{cons}(y, ys))$	$\rightarrow \text{cons}(x, \text{cons}(y, ys))$		
$\text{gt}(0, y)$	$\stackrel{=} \rightarrow \text{false}$		
$\text{gt}(s(x), 0)$	$\stackrel{=} \rightarrow \text{true}$		
$\text{gt}(s(x), s(y))$	$\stackrel{=} \rightarrow \text{gt}(x, y)$		

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Bird's Eye View

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$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$	$ $	$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$	$ $	$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$	$ $	$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{1} \text{if}(\text{gt}(x, y), x, ys')$	$ $	$ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \text{insert}(x, ys)$	$ $	$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$	$ $	$b = 1 \wedge ys' = 1 + y + ys$
$\text{gt}(x', y')$	$\xrightarrow{0} 1$	$ $	$x' = 1$
$\text{gt}(x', y')$	$\xrightarrow{0} 1$	$ $	$x' = 1 + x \wedge y' = 1$
$\text{gt}(x', y')$	$\xrightarrow{0} \text{gt}(x, y)$	$ $	$x' = 1 + x \wedge y' = 1 + y$

- ① abstract terms to integers

Bird's Eye View

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$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$	$ $	$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$	$ $	$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$	$ $	$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{1} \text{if}(\text{gt}(x, y), x, ys')$	$ $	$ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \text{insert}(x, ys)$	$ $	$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$	$ $	$b = 1 \wedge ys' = 1 + y + ys$
$\text{gt}(x', y')$	$\xrightarrow{0} 1$	$ $	$x' = 1$
$\text{gt}(x', y')$	$\xrightarrow{0} 1$	$ $	$x' = 1 + x \wedge y' = 1$
$\text{gt}(x', y')$	$\xrightarrow{0} \text{gt}(x, y)$	$ $	$x' = 1 + x \wedge y' = 1 + y$

① abstract terms to integers

- note: variables range over \mathbb{N}
- just + and ·

Bird's Eye View

Example

$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$	$ $	$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$	$ $	$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$	$ $	$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{1} \text{if}(\text{gt}(x, y), x, ys')$	$ $	$ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \text{insert}(x, ys)$	$ $	$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$	$ $	$b = 1 \wedge ys' = 1 + y + ys$
$\text{gt}(x', y')$	$\xrightarrow{0} 1$	$ $	$x' = 1$
$\text{gt}(x', y')$	$\xrightarrow{0} 1$	$ $	$x' = 1 + x \wedge y' = 1$
$\text{gt}(x', y')$	$\xrightarrow{0} \text{gt}(x, y)$	$ $	$x' = 1 + x \wedge y' = 1 + y$

① abstract terms to integers

- note: variables range over \mathbb{N}
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② analyze result-size for bottom-SCC using standard tools

Example

$$\begin{array}{lll} \mathbf{gt}(x', y') & \xrightarrow{0} 1 & | \quad x' = 1 \\ \mathbf{gt}(x', y') & \xrightarrow{0} 1 & | \quad x' = 1 + x \wedge y' = 1 \\ \mathbf{gt}(x', y') & \xrightarrow{0} \mathbf{gt}(x, y) & | \quad x' = 1 + x \wedge y' = 1 + y \end{array}$$

- ① abstract terms to integers
 - note: variables range over \mathbb{N}
 - just + and ·
- ② analyze result-size for bottom-SCC using standard tools

Example

$$\begin{array}{lll} \mathbf{gt}(x', y') & \xrightarrow{0} 1 & | \quad x' = 1 \\ \mathbf{gt}(x', y') & \xrightarrow{0} 1 & | \quad x' = 1 + x \wedge y' = 1 \\ \mathbf{gt}(x', y') & \xrightarrow{0} \mathbf{gt}(x, y) & | \quad x' = 1 + x \wedge y' = 1 + y \end{array}$$

- ① abstract terms to integers
 - note: variables range over \mathbb{N}
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- ② analyze result-size for bottom-SCC using standard tools
- ③ analyze runtime of bottom-SCC using standard tools

Bird's Eye View

Example

$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$		$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$		$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{1} \text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

- ① abstract terms to integers
 - note: variables range over \mathbb{N}
 - just + and ·
- ② analyze result-size for bottom-SCC using standard tools
- ③ analyze runtime of bottom-SCC using standard tools

Abstracting Terms to Integers

Terminating Variants

Term Rewriting	Integer Transition Systems
arbitrary start terms	ground start terms

Example

$$\mathbf{h}(x) \rightarrow \mathbf{f}(\mathbf{g}(x)) \quad \mathbf{f}(x) \rightarrow \mathbf{f}(x) \quad \mathbf{g}(a) \xrightarrow{\equiv} \mathbf{g}(a)$$

Terminating Variants

Term Rewriting	Integer Transition Systems
arbitrary start terms	ground start terms

Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

innermost rewriting: $h(x) \rightarrow f(g(x)) \rightarrow f(g(x)) \rightarrow \dots$

Terminating Variants

Term Rewriting	Integer Transition Systems
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Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

innermost rewriting: $h(x) \rightarrow f(g(x)) \rightarrow f(g(x)) \rightarrow \dots \quad \mathcal{O}(\infty)$

Terminating Variants

Term Rewriting	Integer Transition Systems
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Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

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- Just ground rewriting?

Terminating Variants

Term Rewriting	Integer Transition Systems
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Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

innermost rewriting: $h(x) \rightarrow f(g(x)) \rightarrow f(g(x)) \rightarrow \dots \quad \mathcal{O}(\infty)$

ground rewriting: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(g(a)) \xrightarrow{\equiv} \dots$

- Just ground rewriting?

Terminating Variants

Term Rewriting	Integer Transition Systems
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Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

innermost rewriting: $h(x) \rightarrow f(g(x)) \rightarrow f(g(x)) \rightarrow \dots$ $\mathcal{O}(\infty)$

ground rewriting: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(g(a)) \xrightarrow{\equiv} \dots$ $\mathcal{O}(1)$

- Just ground rewriting?

Terminating Variants

Term Rewriting	Integer Transition Systems
arbitrary start terms	ground start terms

Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

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ground rewriting: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(g(a)) \xrightarrow{\equiv} \dots$ $\mathcal{O}(1)$

- Just ground rewriting?
- Add terminating variant of relative rules!

Terminating Variants

Term Rewriting	Integer Transition Systems
arbitrary start terms	ground start terms

Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a)$$

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- Just ground rewriting?
- Add terminating variant of relative rules!

Definition

\mathcal{N} is a terminating variant of \mathcal{S} if \mathcal{N} terminates and every \mathcal{N} -normal form is an \mathcal{S} -normal form.

Terminating Variants

Term Rewriting	Integer Transition Systems
arbitrary start terms	ground start terms

Example

$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a) \quad g(a) \xrightarrow{\equiv} a$$

innermost rewriting: $h(x) \rightarrow f(g(x)) \rightarrow f(g(x)) \rightarrow \dots$ $\mathcal{O}(\infty)$

ground rewriting: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(g(a)) \xrightarrow{\equiv} \dots$ $\mathcal{O}(1)$

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innermost rewriting: $h(x) \rightarrow f(g(x)) \rightarrow f(g(x)) \rightarrow \dots$ $\mathcal{O}(\infty)$

ground rewriting: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(g(a)) \xrightarrow{\equiv} \dots$ $\mathcal{O}(1)$

with terminating variant: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(a) \rightarrow f(a) \rightarrow \dots$

- Just ground rewriting?
- Add terminating variant of relative rules!

Definition

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Terminating Variants

Term Rewriting	Integer Transition Systems
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$$h(x) \rightarrow f(g(x)) \quad f(x) \rightarrow f(x) \quad g(a) \xrightarrow{\equiv} g(a) \quad g(a) \xrightarrow{\equiv} a$$

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ground rewriting: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(g(a)) \xrightarrow{\equiv} \dots$ $\mathcal{O}(1)$

with terminating variant: $h(a) \rightarrow f(g(a)) \xrightarrow{\equiv} f(a) \rightarrow f(a) \rightarrow \dots$ $\mathcal{O}(\infty)$

- Just ground rewriting?
- Add terminating variant of relative rules!

Definition

\mathcal{N} is a terminating variant of \mathcal{S} if \mathcal{N} terminates and every \mathcal{N} -normal form is an \mathcal{S} -normal form.

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a))$$

$$g(b(a)) \rightarrow a$$

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a))$$

$$g(b(a)) \rightarrow a$$

original TRS:

$$f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$$

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a))$$

$$g(b(a)) \rightarrow a$$

original TRS:

$$f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$$

$\mathcal{O}(\infty)$

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a))$$

$$g(b(a)) \rightarrow a$$

original TRS:

$$f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$$

$\mathcal{O}(\infty)$

resulting ITS:

$$f(1) \xrightarrow{1} f(g(1))$$

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a))$$

$$g(b(a)) \rightarrow a$$

original TRS:

$$f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$$

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$$f(1) \xrightarrow{1} f(g(1))$$

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Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a))$$

$$g(b(a)) \rightarrow a$$

original TRS:

$$f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$$

$\mathcal{O}(\infty)$

resulting ITS:

$$f(1) \xrightarrow{1} f(g(1))$$

$\mathcal{O}(1)$

Definition

A TRS is completely defined iff its ground normal forms do not contain defined symbols.

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a)) \qquad g(b(a)) \rightarrow a \qquad g(x) \xrightarrow{=} a$$

original TRS: $f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$ $\mathcal{O}(\infty)$

resulting ITS: $f(1) \xrightarrow{1} f(g(1))$ $\mathcal{O}(1)$

Definition

A TRS is completely defined iff its ground normal forms do not contain defined symbols.

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a)) \qquad g(b(a)) \rightarrow a \qquad g(x) \xrightarrow{=} a$$

original TRS: $f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$ $\mathcal{O}(\infty)$

resulting ITS: $f(1) \xrightarrow{1} f(g(1))$ $\mathcal{O}(1)$

ITS after completion: $f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} \dots$

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A TRS is completely defined iff its ground normal forms do not contain defined symbols.

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Term Rewriting	Integer Transition Systems
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$$f(x) \rightarrow f(g(a)) \qquad g(b(a)) \rightarrow a \qquad g(x) \xrightarrow{=} a$$

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ITS after completion: $f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} \dots$ $\mathcal{O}(\infty)$

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A TRS is completely defined iff its ground normal forms do not contain defined symbols.

Completion

Term Rewriting	Integer Transition Systems
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$$f(x) \rightarrow f(g(a)) \qquad g(b(a)) \rightarrow a \qquad g(x) \xrightarrow{=} a$$

original TRS: $f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$ $\mathcal{O}(\infty)$

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ITS after completion: $f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} \dots$ $\mathcal{O}(\infty)$

Definition

A TRS is completely defined iff its well-typed ground normal forms do not contain defined symbols.

Completion

Term Rewriting	Integer Transition Systems
arbitrary matchers	just integer substitutions

Example

$$f(x) \rightarrow f(g(a)) \qquad g(b(a)) \rightarrow a \qquad g(x) \xrightarrow{=} a$$

original TRS: $f(a) \rightarrow f(g(a)) \rightarrow f(g(a)) \rightarrow \dots$ $\mathcal{O}(\infty)$

resulting ITS: $f(1) \xrightarrow{1} f(g(1))$ $\mathcal{O}(1)$

ITS after completion: $f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} f(1) \xrightarrow{1} f(g(1)) \xrightarrow{0} \dots$ $\mathcal{O}(\infty)$

Definition

A TRS is completely defined iff its well-typed ground normal forms do not contain defined symbols.

TRS not completely defined? \curvearrowright Add suitable terminating variant!

Bird's Eye View

Example

$\mathbf{isort}(xs', ys)$	$\xrightarrow{1} ys$		$xs' = 1$
$\mathbf{isort}(xs', ys)$	$\xrightarrow{1} \mathbf{isort}(xs, \mathbf{insert}(x, ys))$		$xs' = 1 + x + xs$
$\mathbf{insert}(x, ys')$	$\xrightarrow{1} 2 + x$		$ys' = 1$
$\mathbf{insert}(x, ys')$	$\xrightarrow{1} \mathbf{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\mathbf{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \mathbf{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\mathbf{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

- ① abstract terms to integers
- ② analyze result-size for bottom-SCC using standard tools
- ③ analyze runtime of bottom-SCC using standard tools

Example

$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{1} \text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

- ① abstract terms to integers
- ② analyze result-size for bottom-SCC using standard tools
- ③ analyze runtime of bottom-SCC using standard tools

Analyze Size Using Standard Tools

Using Runtime Analysis to Compute Size Bounds

Example

$\mathbf{insert}(x, ys')$	$\xrightarrow{1}$	$2 + x$		$ys' = 1$
$\mathbf{insert}(x, ys')$	$\xrightarrow{1}$	$\mathbf{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\mathbf{if}(b, x, ys')$	$\xrightarrow{1}$	$1 + y + \mathbf{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\mathbf{if}(b, x, ys')$	$\xrightarrow{1}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Using Runtime Analysis to Compute Size Bounds

Example

insert (x, ys')	$\xrightarrow{1}$	$2 + x$		$ys' = 1$
insert (x, ys')	$\xrightarrow{1}$	if (b, x, ys')		$ys' = 1 + y + ys \wedge b \leq 1$
if (b, x, ys')	$\xrightarrow{1}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
if (b, x, ys')	$\xrightarrow{1}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs

Using Runtime Analysis to Compute Size Bounds

Example

insert (x, ys')	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
insert (x, ys')	$\xrightarrow{1}$	if (b, x, ys')		$ys' = 1 + y + ys \wedge b \leq 1$
if (b, x, ys')	$\xrightarrow{1}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
if (b, x, ys')	$\xrightarrow{1}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs

Using Runtime Analysis to Compute Size Bounds

Example

insert (x, ys')	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
insert (x, ys')	$\xrightarrow{0}$	if (b, x, ys')		$ys' = 1 + y + ys \wedge b \leq 1$
if (b, x, ys')	$\xrightarrow{1}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
if (b, x, ys')	$\xrightarrow{1}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs

Using Runtime Analysis to Compute Size Bounds

Example

$\text{insert}(x, ys')$	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{0}$	$\text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1+y}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs

Using Runtime Analysis to Compute Size Bounds

Example

$\text{insert}(x, ys')$	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{0}$	$\text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1+y}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1+ys'}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs

Using Runtime Analysis to Compute Size Bounds

Example

$\text{insert}(x, ys')$	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{0}$	$\text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1+y}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1+ys'}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs $\curvearrowright 1 + x + ys'$

Using Runtime Analysis to Compute Size Bounds

Example

$\text{insert}(x, ys')$	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{0}$	$\text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1+y}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1+ys'}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs $\curvearrowright 1 + x + ys'$

Example

$$\mathbf{f}(x) \xrightarrow{1} 2 + x \cdot \mathbf{f}(x - 1) \quad | \quad x > 0$$

Using Runtime Analysis to Compute Size Bounds

Example

$\text{insert}(x, ys')$	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{0}$	$\text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1+y}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1+ys'}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs $\curvearrowright 1 + x + ys'$

Example

$$\mathbf{f}(x) \xrightarrow{1} 2 + x \cdot \mathbf{f}(x - 1) \quad | \quad x > 0$$

Idea: use accumulator

Using Runtime Analysis to Compute Size Bounds

Example

$\text{insert}(x, ys')$	$\xrightarrow{2+x}$	$2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{0}$	$\text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1+y}$	$1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1+ys'}$	$1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

Idea: move “integer context” to costs $\curvearrowright 1 + x + ys'$

Example

$f(x)$	$\xrightarrow{1}$	$2 + x \cdot f(x - 1)$		$x > 0$
$f(x, acc)$	$\xrightarrow{acc \cdot 2}$	$2 + x \cdot f(x - 1, acc \cdot x)$		$x > 0$

Idea: use accumulator

Example

$\text{isort}(xs', ys)$	$\xrightarrow{1} ys$		$xs' = 1$
$\text{isort}(xs', ys)$	$\xrightarrow{1} \text{isort}(xs, \text{insert}(x, ys))$		$xs' = 1 + x + xs$
$\text{insert}(x, ys')$	$\xrightarrow{1} 2 + x$		$ys' = 1$
$\text{insert}(x, ys')$	$\xrightarrow{1} \text{if}(b, x, ys')$		$ys' = 1 + y + ys \wedge b \leq 1$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + y + \text{insert}(x, ys)$		$b = 1 \wedge ys' = 1 + y + ys$
$\text{if}(b, x, ys')$	$\xrightarrow{1} 1 + ys'$		$b = 1 \wedge ys' = 1 + y + ys$

- ① abstract terms to integers
- ② analyze result-size for bottom-SCC using standard tools
- ③ analyze runtime of bottom-SCC using standard tools

Example

$$\begin{array}{lll} \mathbf{isort}(xs', ys) & \xrightarrow{1} & ys \\ \mathbf{isort}(xs', ys) & \xrightarrow{1} & \mathbf{isort}(xs, \mathbf{insert}(x, ys)) \end{array} \quad | \quad \begin{array}{l} xs' = 1 \\ xs' = 1 + x + xs \end{array}$$

- ① abstract terms to integers
- ② analyze result-size for bottom-SCC using standard tools
- ③ analyze runtime of bottom-SCC using standard tools

Analyze Runtime Using Standard Tools

Removing Nested Function Calls

Example

$$\begin{array}{lll} \mathbf{isort}(xs', ys) & \xrightarrow{1} & ys \\ \mathbf{isort}(xs', ys) & \xrightarrow{1} & \mathbf{isort}(xs, \mathbf{insert}(x, ys)) \end{array} \quad | \quad \begin{array}{l} xs' = 1 \\ xs' = 1 + x + xs \end{array}$$

- $|\mathbf{insert}(x, ys)| \leq 1 + x + ys$
- $\mathbf{rt}(\mathbf{insert}(x, ys)) \leq 2 \cdot ys$

Removing Nested Function Calls

Example

$$\begin{array}{lll} \mathbf{isort}(xs', ys) & \xrightarrow{1} & ys \\ \mathbf{isort}(xs', ys) & \xrightarrow{1} & \mathbf{isort}(xs, \mathbf{insert}(x, ys)) \end{array} \quad | \quad \begin{array}{l} xs' = 1 \\ xs' = 1 + x + xs \end{array}$$

- $|\mathbf{insert}(x, ys)| \leq 1 + x + ys$
- $\mathbf{rt}(\mathbf{insert}(x, ys)) \leq 2 \cdot ys$
- add costs of nested function call

Removing Nested Function Calls

Example

$$\begin{array}{lll} \mathbf{isort}(xs', ys) & \xrightarrow{1} & ys \\ \mathbf{isort}(xs', ys) & \xrightarrow{1+2\cdot ys} & \mathbf{isort}(xs, \mathbf{insert}(x, ys)) \end{array} \quad | \quad \begin{array}{l} xs' = 1 \\ xs' = 1 + x + xs \end{array}$$

- $|\mathbf{insert}(x, ys)| \leq 1 + x + ys$
- $\mathbf{rt}(\mathbf{insert}(x, ys)) \leq 2 \cdot ys$
- add costs of nested function call

Removing Nested Function Calls

Example

$$\begin{array}{lll} \mathbf{isort}(xs', ys) & \xrightarrow{1} & ys \\ \mathbf{isort}(xs', ys) & \xrightarrow{1+2\cdot ys} & \mathbf{isort}(xs, \mathbf{insert}(x, ys)) \end{array} \quad | \quad \begin{array}{l} xs' = 1 \\ xs' = 1 + x + xs \end{array}$$

- $|\mathbf{insert}(x, ys)| \leq 1 + x + ys$
- $\mathbf{rt}(\mathbf{insert}(x, ys)) \leq 2 \cdot ys$
- add costs of nested function call
- replace nested function call by fresh variable x_f

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Experiments and Conclusion

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$\mathcal{O}(1)$	47	43	48	53	53
$\leq \mathcal{O}(n)$	276	254	320	354	379
$\leq \mathcal{O}(n^2)$	362	366	425	463	506
$\leq \mathcal{O}(n^3)$	386	402	439	485	541
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- modular bottom-up approach using standard ITS tools
- significantly improves state-of-the-art